

# Isomeric structures of benzimidazole, benzoxazole, and benzothiazole derivatives, their electronic properties and transformations

Akamong Suwattanamala · Vithaya Ruangpornvisuti

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**Abstract** The optimized structures of all isomers of HBI, HBO, HBT, HPyBI, HPyBO, and HPyBT compounds were obtained using the potential energy surface method at the B3LYP/6-311++G(d,p) level of theory. Four isomers and three transition states of their transformations for each compound of HBO, HBT, HPyBO, and HPyBT and two isomers and one transition state for each HBI and HPyBI compounds were found. Energetics, thermodynamic properties, rate constants, and equilibrium constants of their transformations were determined.

**Keywords** Intramolecular proton transfer · Transformation · Structure · Isomer · DFT

## Introduction

Intramolecular proton transfer has played an important role in a large variety of chemical and biological processes. Some types of organic materials undergoing excited state intramolecular proton transfer (ESIPT) have been applied as molecular photoswitches [1], laser dyes and light

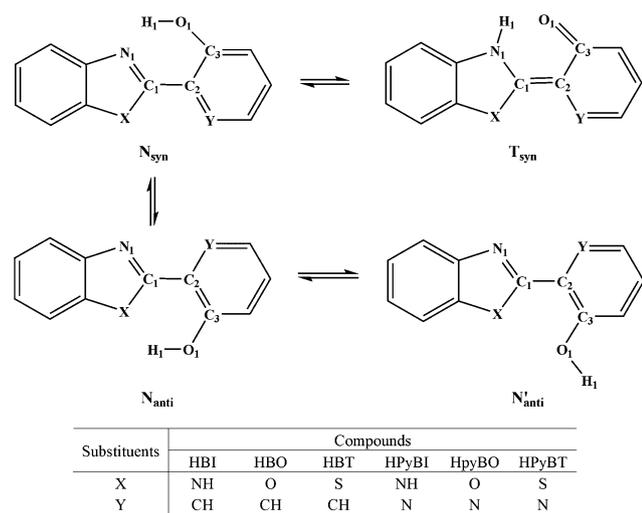
emitting device [2], UV photostabilizers [3], fluorescent probes [4], or probes for structural variations in micelles [5, 6]. The chemical structure of ESIPT molecules usually contains a phenolic group which is able to form intramolecular hydrogen bond with a heteroatom, such as oxygen or nitrogen in the same molecule. The ESIPT compounds such as 2-(2'-hydroxyphenyl)benzimidazole (HBI) [7–10], 2-(2'-hydroxyphenyl)benzoxazole (HBO) [7, 8, 10–17], and 2-(2'-hydroxyphenyl)benzothiazole (HBT) [10, 14, 18–21] denoted as HBX compound series, where –X– in the benzazole ring standing for –NH–, –O– and –S– were widely studied. Especially, HBO has been explored as a phototautomerizable model DNA base pair [15–17]. The analogs of HBX denoted as HPyBX series are composed of 2-(3'-hydroxy-2'-pyridyl)benzimidazole (HPyBI) [22], 2-(3'-hydroxy-2'-pyridyl)benzoxazole (HPyBO) [23], and a novo 2-(3'-hydroxy-2'-pyridyl)benzothiazole (HPyBT), see Fig. 1. It shows that the ESIPT molecules in the ground state can occur in enol form, called a syn normal form ( $N_{syn}$ ) possessing the hydroxyl group which is hydrogen-bonded to its  $N_1$  atom via  $N \cdots H$  interaction as demonstrated in Fig. 1. The hydroxyl proton of the syn normal form can be transferred to afford its keto tautomer called a syn tautomer ( $T_{syn}$ ) possessing the intramolecular hydrogen bond,  $N_1-H \cdots O$ . The ground state rotamerism yields an anti rotamer form ( $N_{anti}$ ) and it might reveal a conformational equilibrium between an intramolecular hydrogen bonded conformer and the OH-rotated conformer ( $N'_{anti}$ ) (Fig. 1). Ab initio calculations on the  $N_{syn}$  and  $T_{syn}$  forms of the HBX series and their corresponding transition states (TS) were carried out by previous theoretical studies [10, 13] but unfortunately, no physical insights on thermodynamic and kinetic parameters were obtained.

In this work, the structural details of each form of HBX and HPyBX series of benzimidazole, benzoxazole, and

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A. Suwattanamala  
Department of Chemistry and Center of Excellence  
for Innovation in Chemistry, Faculty of Science, Burapha  
University, Chonburi 20131, Thailand

V. Ruangpornvisuti (✉)  
Department of Chemistry, Faculty of Science and Center  
for Petroleum, Petrochemicals and Advanced Materials,  
Chulalongkorn University, Bangkok 10330, Thailand  
e-mail: vithaya.r@chula.ac.th



**Fig. 1** Structural representatives for six compounds of ES IPT as (four forms  $N_{\text{syn}}$ ,  $T_{\text{syn}}$ ,  $N_{\text{anti}}$ , and  $N'_{\text{anti}}$ ) the X, Y-substituted molecular series

benzothiazole derivatives and their corresponding transition states in tautomeric and rotameric transformations using DFT/B3LYP calculations have been investigated. In the gas phase, thermodynamic and kinetic parameters of each process have been determined.

### Computational details

The structures of HBX and HPyBX compounds, X = –NH–, –O– and –S– and their related transition states in tautomerism and rotamerism were obtained by complete optimization using density functional theory (DFT). DFT calculations were performed by the Becke's three-parameter exchange functional [24] combined the Lee–Yang–Parr correlation functional [25] (B3LYP). All geometry optimizations were carried out using the computation at the B3LYP/6-311++G(d,p) level of theory. All minima and transition states were confirmed by real and single imaginary vibrational frequencies, respectively. All calculations were performed with the GAUSSIAN 03 program [26].

The Mulliken electronegativity ( $\chi$ ), chemical hardness ( $\eta$ ), and electronic chemical potential ( $\mu$ ) for all isomers of the HBX and HPyBX compounds were computed using orbital energies of the highest occupied molecular orbital (HOMO) and the lowest unoccupied molecular orbital (LUMO) at the B3LYP/6-311++G(d,p) level. The chemical hardness, electronic chemical potential, and Mulliken electronegativity were derived from the first ionization potential ( $I$ ) and electron affinity ( $A$ ) of the  $N$ -electron molecular system with a total energy ( $E$ ) and external potential ( $v(\vec{r})$ ) using the relations:  $\chi = -\left(\frac{\partial E}{\partial N}\right)_{v(\vec{r})} = -\mu \cong \frac{1}{2}(I + A)$  and  $\eta = \left(\frac{\partial^2 E}{\partial N^2}\right)_{v(\vec{r})} \cong \frac{1}{2}(I - A)$ , and the

first ionization potential and electron affinity are  $I = E(N - 1) - E(N)$  and  $A = E(N) - E(N + 1)$ , respectively [27]. According to the Koopmans theorem [28],  $I$  and  $A$  were computed from the HOMO and LUMO energies using the relations:  $I = -E_{\text{HOMO}}$  and  $A = -E_{\text{LUMO}}$ , as employed in the previous works [29–37].

The standard enthalpy  $\Delta H_{298}^{\circ}$  and Gibbs free energy changes  $\Delta G_{298}^{\circ}$  of tautomeric and rotameric reactions of this system have been derived from the frequency calculations at the B3LYP/6-311++G(d,p) level of theory; no scaled frequency is used. The rate constant  $k(T)$ , based on transition state theory, was computed from the activation energy,  $\Delta^{\ddagger}E$  using Eq. 1.

$$k(T) = \kappa \frac{k_{\text{B}} T}{h} \frac{Q_{\text{TS}}}{Q_{\text{REA}}} \exp\left(-\Delta^{\ddagger}E/RT\right) = \kappa A \exp\left(-\Delta^{\ddagger}E/RT\right) \quad (1)$$

where  $k_{\text{B}}$  is the Boltzmann's constant,  $h$  is Planck's constant,  $T$  is the absolute temperature,  $R$  is the gas constant, and  $Q_{\text{TS}}$  and  $Q_{\text{REA}}$  are the partition functions of the transition state and the isomeric reactant of HBX and HPyBX molecules whose values are composed of translational, rotational, and vibrational partition functions. The tunneling coefficient ( $\kappa$ ) can be computed with the Wigner method [38–40] as  $\kappa = 1 + \frac{1}{24} \left(\frac{h\nu_i}{k_{\text{B}}T}\right)^2$  where  $\nu_i$  is the imaginary frequency that accounts for the vibration motion along the reaction path. The pre-exponential factor ( $A$ ) is defined as  $A = \frac{k_{\text{B}}T}{h} \frac{Q_{\text{TS}}}{Q_{\text{REA}}}$ . The equilibrium constant  $K$  at 298.15 K and 1 atm is computed using a thermodynamic equation  $\Delta G^{\circ} = -RT \ln K$ .

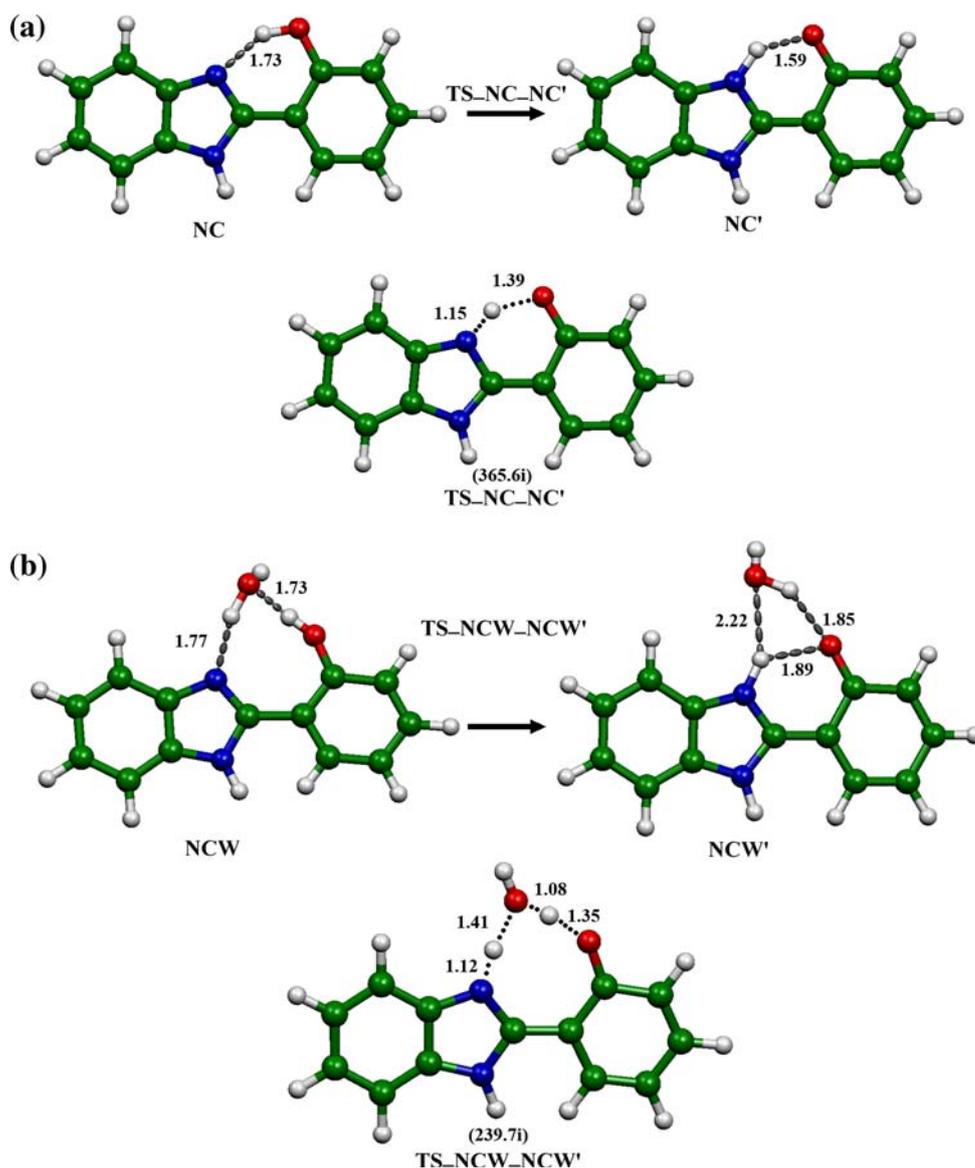
### Results and discussion

Structure and energetics of each isomer of HBX and HPyBX series

The B3LYP/6-311++G(d,p) optimized structures of ground state isomers  $N_{\text{syn}}$ ,  $T_{\text{syn}}$ ,  $N_{\text{anti}}$  and  $N'_{\text{anti}}$  of HBx and HPyBx series and their corresponding transition states of their tautomerization and rotamerization are shown in Figs. 2, 3, 4, 5, 6, and 7. The optimized structures of isomer  $N_{\text{syn}}$  of HBx and HPyBx series were found to be planar which corresponds to previous study on HBX group [10]. By the way, the proton can be transferred from the hydroxyl group to the benzazole  $N_1$  and yield the  $T_{\text{syn}}$  with strong O...H interaction. Rotameric equilibria in the ground state between  $N_{\text{syn}}$  and its anti rotamer  $N_{\text{anti}}$  and between  $N_{\text{anti}}$  and  $N'_{\text{anti}}$  rotamer were found for all systems except for HBI and HPyBI compounds.

Only two isomers of HBI, NC, and NC' were found and they transform each other via transition state TS\_NC'\_NC'

**Fig. 2** The structures of isomers of HBI compound, their transformations via transition states as **a** without and **b** with water-assisted proton-transfer process. Hydrogen bond distances are in angstrom. Imaginary frequency for their transition states are shown in parentheses

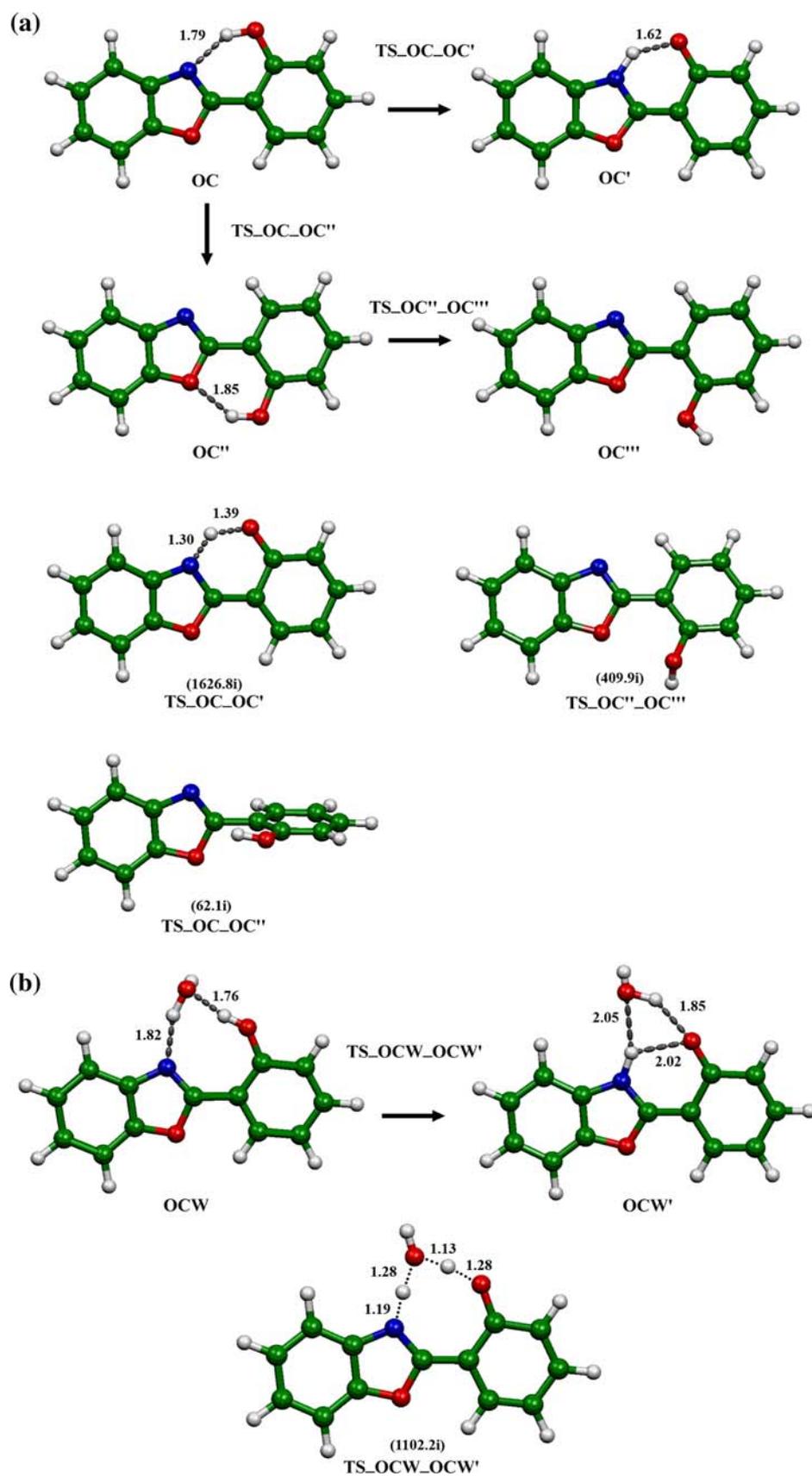


as shown in Fig. 2; their transformation as proton transfer via a water molecule is shown in Fig. 2b. Four conformers  $N_{\text{syn}}$ ,  $T_{\text{syn}}$ ,  $N_{\text{anti}}$ , and  $N'_{\text{anti}}$  denoted as OC, OC', OC'', and OC''' for HBO compound and as SC, SC', SC'', and SC''' for HBT compound including their transformations are shown in Figs. 3 and 4, respectively. Two isomers of the HPyBI compound can be transformed into each other via transition state TS<sub>NN-NN'</sub> as shown in Fig. 5. As the C atom in phenolic ring is substituted with N atom, the N-substituted phenolic ring affect the C2–Y bond length and therefore the C2–N is shorter than C2–C by 0.80 Å. The four isomers of the HPyBO and HPyBT compounds can be transformed into each other as the same of the HBO compound as shown in Figs. 6 and 7, respectively.

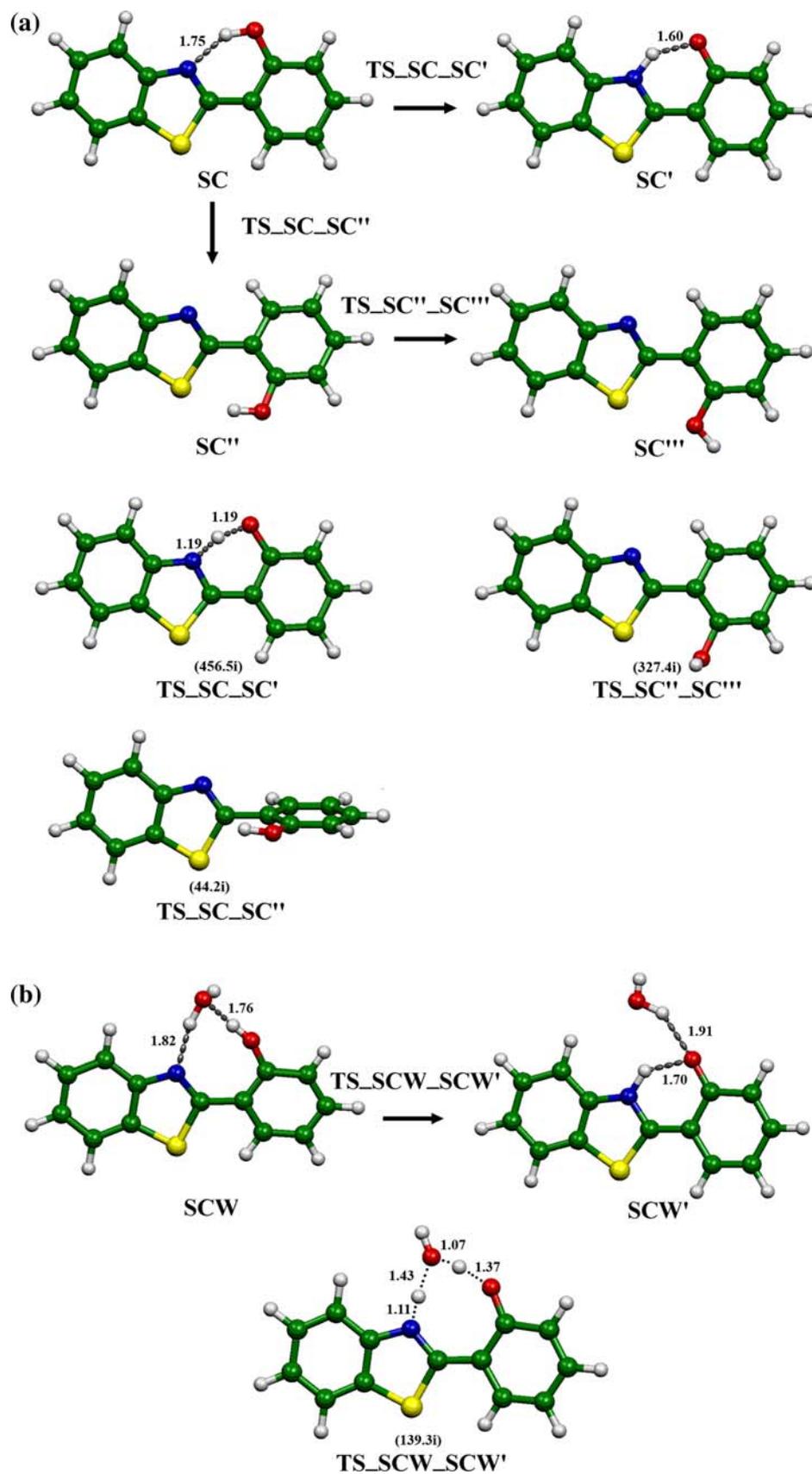
Selected geometrical parameters of all isomers of the HBX and HPyBX series obtained at the B3LYP/6-311++G(d,p) level of theory are given in Tables S1, S2,

S3, S4, S5, and S6. They show that the C1–X bond distances in HBT are hardly different compared with the isomers of HBI compound but are longer than of HBO by approximately 0.40 Å. The N1–C1–C2–C3 dihedral angles in Tables S3 and S6 show that SC'' and ON'' isomers are a distorted planar structure. Relative energies of isomers of the HBX and HPyBX series and their corresponding transition states of their transformations computed at the B3LYP/6-311++G(d,p) level in gas phase are shown in Table 1. The relative stabilities of isomers of the HBO compound are in order: OC > OC' > OC'' > OC''', whereas of the HBT compound are in order: SC > SC'' > SC' > SC'. By contrast, the relative stabilities of isomers of the HPyBO compound were found to be in order: ON > ON'' > ON' > ON''', whereas of HPyBT compound are in order: SN > SN' > SN'' > SN'''. The results indicate that the substitution on one C atom by N

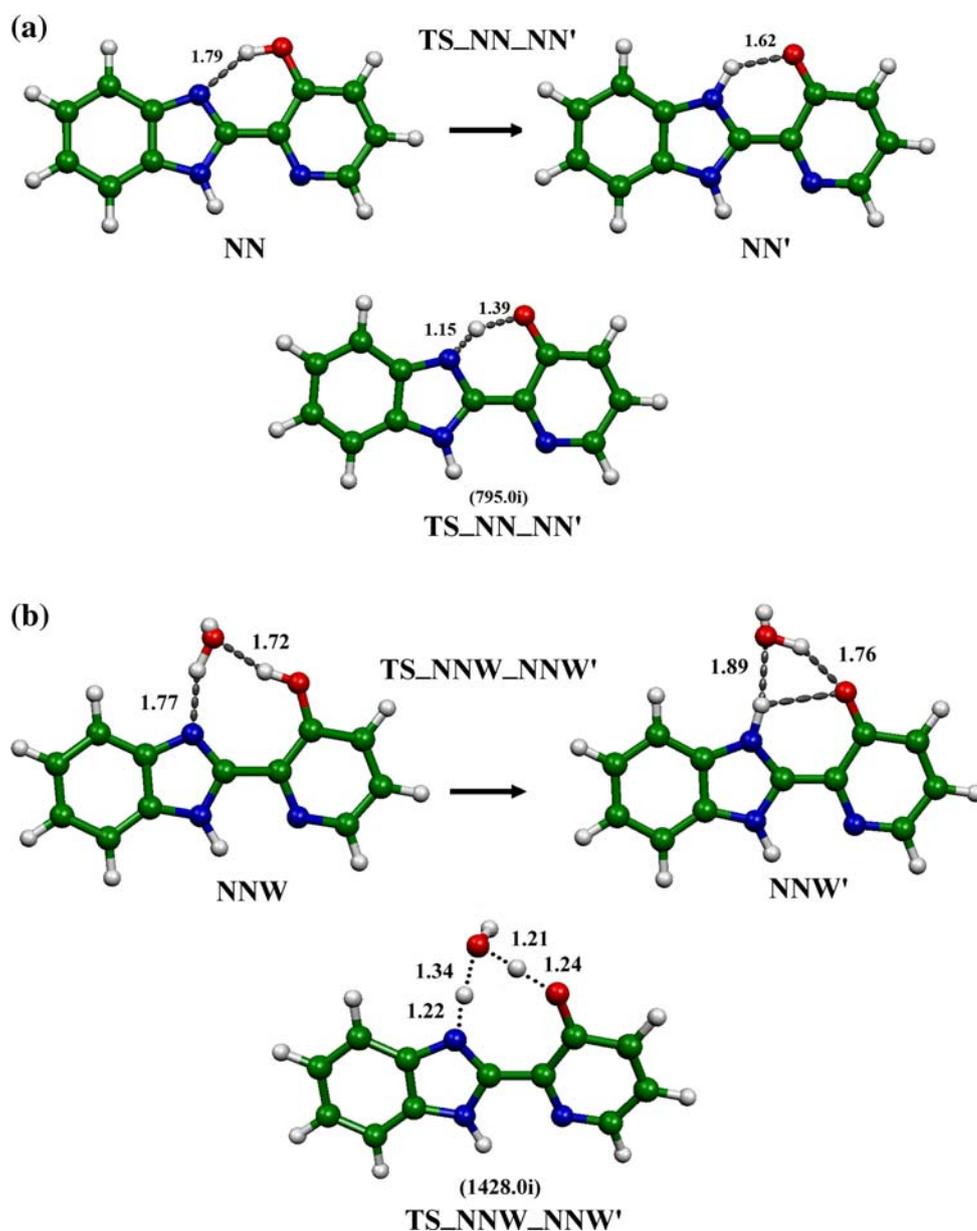
**Fig. 3** The structures of isomers of HBO compound, their transformations via transition states as **a** without and **b** with water-assisted proton-transfer process. Hydrogen bond distances are in angstrom. Imaginary frequency for their transition states are shown in parentheses



**Fig. 4** The structures of isomers of HBT compound, their transformations via transition states as **a** without and **b** with water-assisted proton-transfer process. Hydrogen bond distances are in angstrom. Imaginary frequency for their transition states are shown in parentheses



**Fig. 5** The structures of isomers of HPyBI compound, their transformations via transition states as **a** without and **b** with water-assisted proton-transfer process. Hydrogen bond distances are in angstrom. Imaginary frequency for their transition states are shown in parentheses



atom in phenolic ring affects the stability ordering of ESIPT compounds. The relative stabilities of HPyBI are predicted as in order:  $NN > NN'$ , which corresponds to a previous stability ordering of HBI,  $NC > NC'$ . It was found that the  $N \cdots HO$  intramolecular hydrogen bond of  $N_{syn}$  isomers play an important role in the stabilization of their structures.

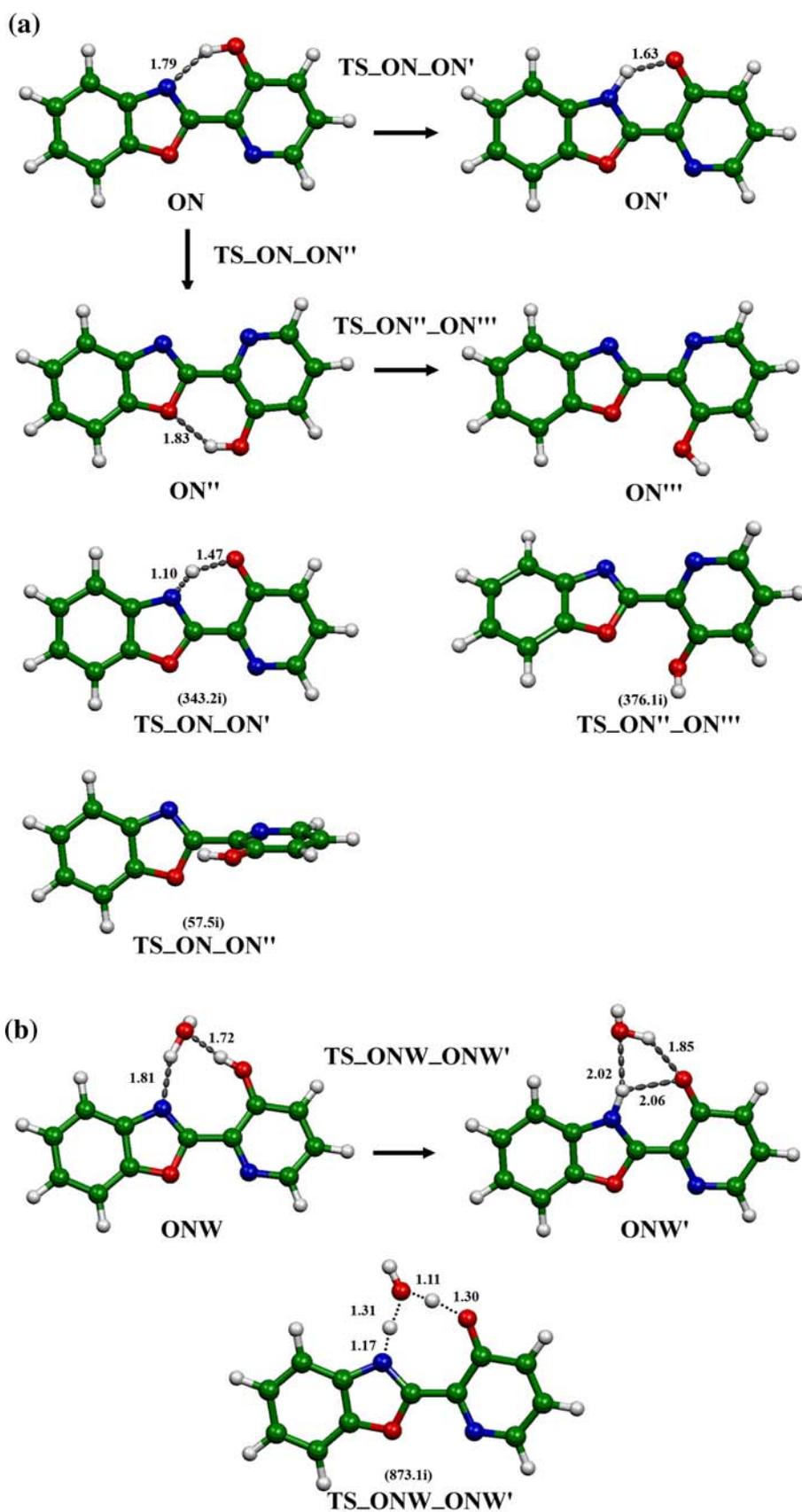
Thermodynamic and kinetic properties of tautomerization and rotamerization

The activation energies, free energies of activation, reaction enthalpies, free energies of reactions, rate constants,

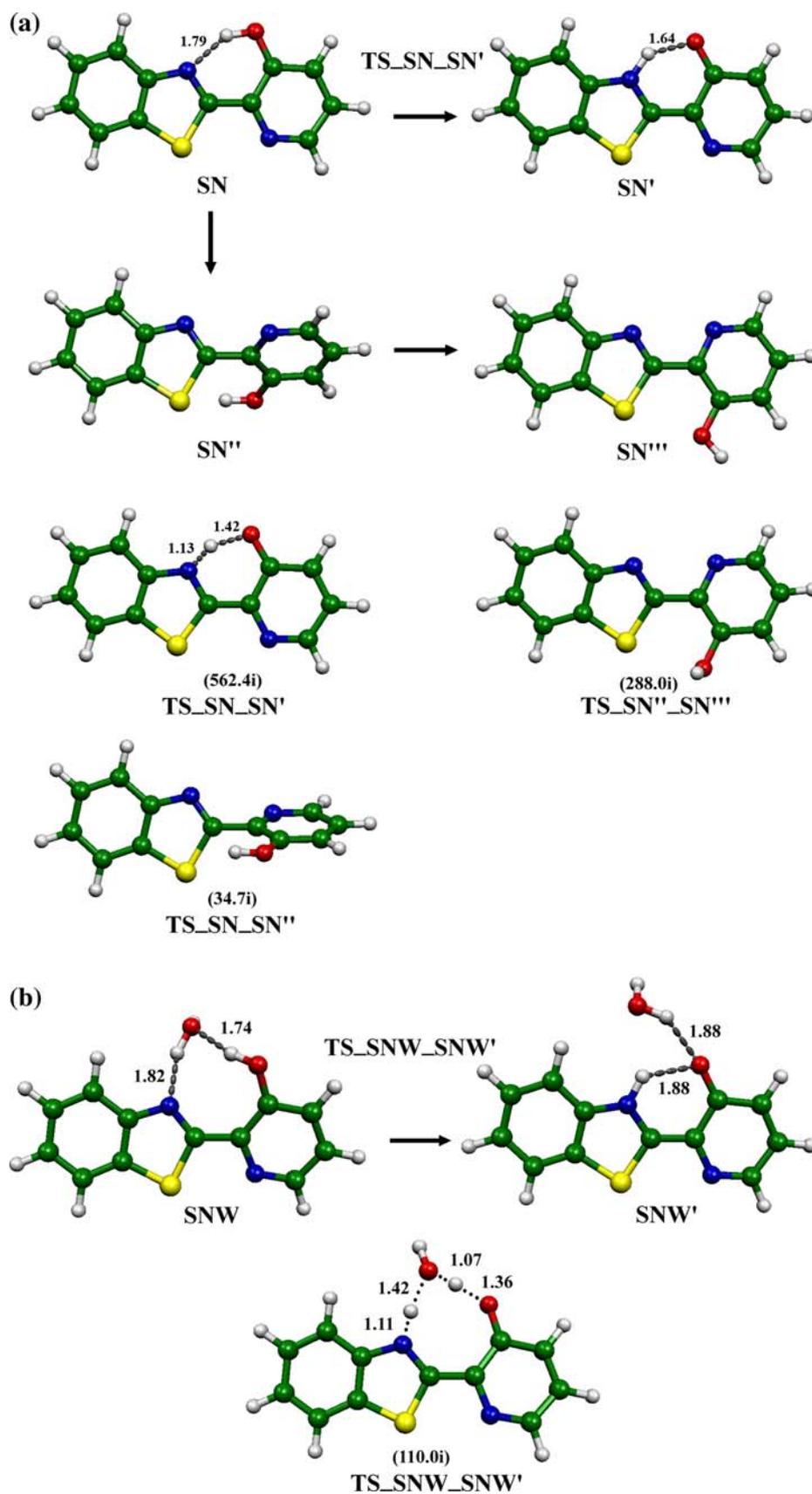
and equilibrium constants of all the tautomerizations and rotamerizations of HBX and HPyBX series obtained at the B3LYP/6-311++G(d,p) level are listed in Table 2. The rate constants of all related reactions computed using Eq. 1 of which rate constant depends on the tunneling coefficient as a part of pre-exponential factor and the activation energy as the exponential part can be considered in terms of imaginary frequencies of their transition state and their corresponding activation energy.

The imaginary frequencies of transition states due to their proton-transfer process such as  $TS_{OC_{OC}'}$ ,  $1262.8i \text{ cm}^{-1}$  ( $TS_{OCW_{OCW}'}$ ,  $1102.2i \text{ cm}^{-1}$ , as a system for water-assisted proton transfer, see Fig. 3) and  $TS_{NN_{NN}'}$ ,

**Fig. 6** The structures of isomers of HPyBO compound, their transformations via transition states as **a** without and **b** with water-assisted proton-transfer process. Hydrogen bond distances are in angstrom. Imaginary frequency for their transition states are shown in parentheses



**Fig. 7** The structures of isomers of HPyBT compound, their transformations via transition states as **a** without and **b** with water-assisted proton-transfer process. Hydrogen bond distances are in angstrom. Imaginary frequency for their transition states are shown in parentheses



**Table 1** Relative energy ( $\Delta E^a$ ) of conformer of the HBX and HPyBX series and transition states of their transformations and relative energy with zero-point energy correction ( $\Delta E_{ZPE}^a$  in parenthesis) computed at B3LYP/6-311++G(d,p) level in gas phase

Compounds/Isomers	$\Delta E$ (kcal/mol)	
	Bare structure	Monohydrated structure
<b>HBI</b>		
NC	0.00 (0.00)	0.00 (0.00)
NC'	9.47 (8.32)	3.00 (2.32)
TS_NC_NC'	9.53 (7.22)	9.64 (7.14)
<b>HBO</b>		
OC	0.00 (0.00)	0.00 (0.00)
OC'	12.68 (11.44)	5.04 (4.67)
OC''	5.64 (5.42)	
OC'''	10.94 (10.32)	
TS_OC_OC'	25.69 (21.51)	13.37 (9.83)
TS_OC_OC''	13.31 (12.64)	
TS_OC''_OC'''	14.06 (12.95)	
<b>HBT</b>		
SC	0.00 (0.00)	0.00 (0.00)
SC'	10.73 (9.70)	2.92 (2.03)
SC''	9.27 (9.02)	
SC'''	8.53 (8.03)	
TS_SC_SC'	10.53 (7.67)	11.52 (9.45)
TS_SC_SC''	11.11 (10.63)	
TS_SC''_SC'''	11.76 (10.77)	
<b>HPyBI</b>		
NN	0.00 (0.00)	0.00 (0.00)
NN'	8.51 (8.02)	0.84 (0.97)
TS_NN_NN'	9.34 (6.76)	11.11 (6.99)
<b>HPyBO</b>		
ON	0.00 (0.00)	0.00 (0.00)
ON'	11.34 (10.19)	4.13 (3.85)
ON''	7.85 (7.50)	
ON'''	14.13 (13.28)	
TS_ON_ON'	11.40 (9.11)	12.13 (8.77)
TS_ON_ON''	13.33 (12.61)	
TS_ON''_ON'''	16.28 (15.03)	
<b>HPyBT</b>		
SN	0.00 (0.00)	0.00 (0.00)
SN'	9.81 (8.95)	1.90 (1.67)
SN''	14.93 (14.46)	
SN'''	16.14 (15.28)	
TS_SN_SN'	10.04 (7.56)	10.50 (8.74)
TS_SN_SN''	15.20 (14.61)	
TS_SN''_SN'''	18.80 (17.59)	

<sup>a</sup> Relative energy to the most stable form ( $N_{syn}$ ). The most stable form, its total energy is  $-686.346174$ ,  $-706.207810$ ,  $-1029.184884$ ,  $-702.394815$ ,  $-722.245682$  au. for NC, OC, SC, NN, ON, SN, respectively, calculated at the B3LYP/6-311++G(d,p) whereas the most stable species of monohydrated form, its total energy is  $-762.807729$ ,  $-782.667693$ ,  $-1105.644097$ ,  $-778.856481$ ,  $-798.706181$ ,  $-798.706181$  au. for NC, OC, SC, NN, ON, SN, respectively, calculated at the B3LYP/6-311++G(d,p)

$795.0i$   $cm^{-1}$  (TS\_NNW\_NNW',  $1428.0i$   $cm^{-1}$ , see Fig. 5) highly affect their tunneling coefficients of which are 3.57 (2.18, for water-assisted proton transfer) and 1.61 (2.98, for water-assisted proton transfer), respectively. In general, vibration of transition state is found that its single imaginary frequency for proton-transfer process is usually much higher than that for rotational process. In this work, the imaginary frequency for proton-transfer of the transition state of all studied compounds are lower than  $500i$   $cm^{-1}$  except the reaction for HBO compound (OC  $\rightarrow$  TS\_OC\_OC'  $\rightarrow$  OC',  $1626.8i$   $cm^{-1}$ ) and for HPyBI (NN  $\rightarrow$  TS\_NN\_NN'  $\rightarrow$  NN',  $795.0i$   $cm^{-1}$ ). Reasons of the low vibration frequencies for these proton-transfer transition states are that they possess high intramolecular repulsive site as described below:

- HBI system, the transition state TS\_NC\_NC' (Fig. 2) is caused by the interaction [ring-NH $\leftrightarrow$ HC-ring].
- HBT system, the transition state TS\_SC\_SC' (Fig. 4) is caused by the ring strain due to the sulfur atom.
- HPyBO system, the transition state TS\_NC\_NC' (Fig. 6) is caused by the interaction [ring-O $\leftrightarrow$ N-ring].
- HPyBT system, the transition state TS\_NC\_NC' (Fig. 7) is caused by the interaction [ring-S $\leftrightarrow$ N-ring].

Intramolecular proton transfer reactions of all members in the HBX and HPyBX series are the non-spontaneous and endothermic processes, which is supported by positive values of both free energy change,  $\Delta G_{298}^{\circ}$ , and enthalpy change,  $\Delta H_{298}^{\circ}$ , of the reaction. The reaction enthalpies for HBX series are predicted to be in order: HBI < HBT < HBO, which is in good agreement with the previous theoretical study [10]. It was found that these results follow the Leffer–Hammond postulate [41, 42]. In the same manner, reaction enthalpies for HPyBX series are found to be in order: HPyBI < HPyBT < HPyBO. It seems that the substitution on C atom in phenolic ring for HBX series by N atom (HPyBX series) does not break the Leffer–Hammond postulate because this substitution does not affect to the proton transfer process.

Activation energies for tautomerization of the HBX and HPyBX series are in increasing order: NN  $\rightarrow$  TS\_NN\_NN' < NC  $\rightarrow$  TS\_NC\_NC' < SN  $\rightarrow$  TS\_SN\_SN' < SC  $\rightarrow$  TS\_SC\_SC' < ON  $\rightarrow$  TS\_ON\_ON' < OC  $\rightarrow$  TS\_OC\_OC'. It might suggest that reaction enthalpies and activation energies for the tautomerization of compounds in HPyBX series are lower than their corresponding compounds in HBX series. It suggests that the activation energy for the tautomerization increases with decreasing hydrogen bond strength. This suggestion is supported by hydrogen bond distance H $\cdots$ N in  $N_{syn}$  and transition-state structures. On the other hand, the activation energy seems to increase with the increasing electronegativity of X substituted compounds. The rate constants and equilibrium constants at

**Table 2** Energies, thermodynamic properties, rate constants and equilibrium constants of transformations of all isomers of the HBX and HPyBX series computed at the B3LYP/6-311++G(d,p) level of theory

Reactions /systems	$\Delta^\ddagger E$ <sup>a, b</sup>	$\Delta^\ddagger G$ <sup>a</sup>	$\Delta E$ <sup>a, b</sup>	$\Delta H$ <sup>a</sup>	$\Delta G$ <sup>a</sup>	$\kappa$ <sup>c</sup>	$A$ <sup>d</sup>	$k_{298}^e$	$K_{298}$
<b>HBI</b>									
NC → TS_NC_NC' → NC'	7.22	7.75	8.32	8.37	8.48	1.13	$2.49 \times 10^{12}$	$1.43 \times 10^7$	$6.08 \times 10^{-7}$
NCW → TS_NCW_NCW' → NCW'	7.14	7.81	2.32	2.80	1.43	1.06	$2.00 \times 10^{12}$	$1.22 \times 10^7$	$8.89 \times 10^{-2}$
<b>HBO</b>									
OC → TS_OC_OC' → OC'	21.51	22.13	11.44	11.51	11.46	3.57	$2.19 \times 10^{12}$	$1.33 \times 10^{-3}$	$3.95 \times 10^{-9}$
OCW → TS_OCW_OCW' → OCW'	9.83	10.79	4.67	4.93	4.40	2.18	$1.17 \times 10^{12}$	$1.60 \times 10^5$	$5.92 \times 10^{-4}$
OC → TS_OC_OC'' → OC''	12.64	12.97	5.42	5.57	5.10	1.00	$3.55 \times 10^{12}$	$1.93 \times 10^3$	$1.83 \times 10^{-4}$
OC'' → TS_OC''_OC''' → OC'''	7.53	7.43	4.90	5.10	4.02	1.16	$7.46 \times 10^{12}$	$2.61 \times 10^7$	$1.13 \times 10^{-3}$
<b>HBT</b>									
SC → TS_SC_SC' → SC'	7.67	7.70	9.70	9.79	9.77	1.20	$5.81 \times 10^{12}$	$1.67 \times 10^7$	$6.92 \times 10^{-8}$
SCW → TS_SCW_SCW' → SCW'	9.45	9.43	2.03	2.44	1.29	1.02	$6.31 \times 10^{12}$	$7.54 \times 10^5$	$1.13 \times 10^{-1}$
SC → TS_SC_SC'' → SC''	10.63	11.15	9.02	9.20	8.78	1.00	$2.58 \times 10^{12}$	$4.17 \times 10^4$	$3.64 \times 10^{-7}$
SC'' → TS_SC''_SC''' → SC'''	1.75	1.87	-0.99	-0.87	-1.19	1.10	$5.09 \times 10^{12}$	$2.93 \times 10^{11}$	$7.41 \times 10^0$
<b>HPyBI</b>									
NN → TS_NN_NN' → NN'	6.76	7.08	8.02	8.07	8.01	1.61	$3.54 \times 10^{12}$	$6.29 \times 10^7$	$1.34 \times 10^{-6}$
NNW → TS_NNW_NNW' → NNW'	6.99	8.75	0.97	1.12	1.26	2.98	$3.07 \times 10^{11}$	$6.85 \times 10^6$	$1.20 \times 10^{-1}$
<b>HPyBO</b>									
ON → TS_ON_ON' → ON'	9.11	9.53	10.19	10.25	10.21	1.11	$3.02 \times 10^{12}$	$7.06 \times 10^5$	$3.29 \times 10^{-8}$
ONW → TS_ONW_ONW' → ONW'	8.77	9.51	3.85	4.13	3.59	1.74	$1.71 \times 10^{12}$	$1.11 \times 10^6$	$2.34 \times 10^{-3}$
ON → TS_ON_ON'' → ON''	12.61	12.91	7.50	7.66	7.04	1.00	$3.79 \times 10^{12}$	$2.15 \times 10^3$	$6.91 \times 10^{-6}$
ON'' → TS_ON''_ON''' → ON'''	7.53	7.48	5.79	5.43	6.75	1.14	$6.82 \times 10^{12}$	$2.33 \times 10^7$	$1.13 \times 10^{-5}$
<b>HPyBT</b>									
SN → TS_SN_SN' → SN'	7.56	7.91	8.95	8.99	8.98	1.31	$3.37 \times 10^{12}$	$1.27 \times 10^7$	$2.62 \times 10^{-7}$
SNW → TS_SNW_SNW' → SNW'	8.74	9.16	1.67	1.96	1.33	1.01	$2.92 \times 10^{12}$	$1.16 \times 10^6$	$1.06 \times 10^{-1}$
SN → TS_SN_SN'' → SN''	14.61	14.97	14.46	14.71	13.84	1.00	$3.42 \times 10^{12}$	$6.65 \times 10^1$	$7.16 \times 10^{-11}$
SN'' → TS_SN''_SN''' → SN'''	3.13	3.37	0.82	0.97	0.04	1.08	$4.17 \times 10^{12}$	$2.28 \times 10^{10}$	$9.31 \times 10^{-1}$

<sup>a</sup> In kcal/mol<sup>b</sup> Energy with zero-point vibration energy (ZPVE) corrections

<sup>c</sup>  $\kappa = 1 + \frac{1}{24} \left( \frac{h\nu_i}{k_B T} \right)^2$

<sup>d</sup>  $A = \frac{k_B T}{h} \frac{Q_{TS}}{Q_{REA}}$  in  $s^{-1}$

<sup>e</sup> In  $s^{-1}$ 

298.15 K of tautomerization processes are in orders: NN → NN' > SC → SC' > NC → NC' > SN → SN' > ON → ON' > OC → OC' and NN → NN' > NC → NC' > SN → SN' > SC → SC' > ON → ON' > OC → OC', respectively. All the equilibrium constants at 298.15 K of tautomerization processes via transition-state geometry corresponding to water-assisted proton transfer are higher than their corresponding equilibrium constants obtained via direct proton-transfer process by  $10^4$  times.

All the first rotameric transformation ( $N_{syn} \rightarrow N_{anti}$ ) and second rotameric transformation ( $N_{anti} \rightarrow N'_{anti}$ ) are endothermic and non-spontaneous reactions except the second rotameric transformation  $SC'' \rightarrow SC'''$  which prefers undergo the exothermic and spontaneous process; its enthalpy and free energy are small magnitude,  $\Delta H = -0.87$  kcal/mol and  $\Delta G = -1.19$  kcal/mol. As all these

forward rate constants are very small as compared with their corresponding backward rate constants, their reaction equilibrium constants are therefore small; although the equilibrium constant of the transformation  $SC'' \rightarrow SC'''$  is also small ( $K = 7.41 \times 10^0$ ) as shown in Table 2. Nevertheless, these rate constants are unobservable but only obtained using the transition-state theory. This is because the intramolecular hydrogen bonding (S...HO) in  $SC''$  is weak and preferably transformed to  $SC'''$ . The reaction enthalpies for the first and second rotameric transformations are in orders: OC → OC'' < ON → ON'' < SC → SC'' < SN → SN'' and  $SC'' \rightarrow SC''' < SN'' \rightarrow SN''' < OC'' \rightarrow OC''' < ON'' \rightarrow ON'''$ , respectively. And the activation energies for the first and the second rotameric transformations are in orders: SC → SC'' < ON → ON'' < OC → OC'' < SN → SN'' and  $SC'' \rightarrow SC''' < SN'' \rightarrow SN'''$

$< \text{ON}'' \rightarrow \text{ON}''' < \text{OC}'' \rightarrow \text{OC}'''$ , respectively. All studied compounds, the reaction enthalpies and activation energies for the first rotameric transformation are always higher than for the second rotameric transformation. The reaction enthalpies and activation energies for the first and second rotameric transformations of compounds in HPyBX series are higher than the corresponding compounds in HBX series. Rate constants at 298 K for the first and second rotameric processes are in orders:  $\text{SC} \rightarrow \text{SC}'' > \text{ON} \rightarrow \text{ON}'' > \text{OC} \rightarrow \text{OC}'' > \text{SN} \rightarrow \text{SN}''$  and  $\text{SC}'' \rightarrow \text{SC}''' > \text{SN}'' \rightarrow \text{SN}''' > \text{OC}'' \rightarrow \text{OC}''' > \text{ON}'' \rightarrow \text{ON}'''$ , respectively. It was found that rate constants for the second rotamerization for all compounds are obviously higher than that for the first rotamerization because their activation energies are pretty low.

The activation energies for the tautomerization processes via the water-assisted intramolecular proton transfer as transition states  $\text{TS}_{\text{NCW}_{\text{NCW}}'}$ ,  $\text{TS}_{\text{OCW}_{\text{OCW}}'}$ ,  $\text{TS}_{\text{ONW}_{\text{ONW}}'}$ ,  $\text{TS}_{\text{SNW}_{\text{SNW}}'}$  are lower than those obtained via the direct proton-transfer as transition states  $\text{TS}_{\text{NC}_{\text{NC}}'}$ ,  $\text{TS}_{\text{OC}_{\text{OC}}'}$ ,  $\text{TS}_{\text{ON}_{\text{ON}}'}$ ,  $\text{TS}_{\text{SN}_{\text{SN}}'}$  by approximate 0.08, 11.68, 0.34, and 5.87 kcal/mol, respectively.

#### Frontier MO energies and chemical indices

Energies of the lowest unoccupied molecular orbital ( $E_{\text{LUMO}}$ ) and the highest occupied molecular orbital ( $E_{\text{HOMO}}$ ), frontier molecular orbital energy gap,  $\Delta E_{\text{HOMO-LUMO}}$ , chemical hardness, chemical potential, and Mulliken electronegativity of all isomers of HBX and HPyBX series calculated at the B3LYP/6-311++G(d,p) level are listed in Table 3. The chemical hardness, electronic chemical potential, and electronegativity are important property to study the relative stabilities of different isomers of a molecular system. Due to the Pearson's maximum hardness principle (MHP) [43] which points out that the minimum energy structure has the maximum chemical hardness, it seems that  $\text{N}_{\text{syn}}$  form of HBI and HPyBI compounds, respectively represented NC and NN are in a good agreement with this principle, whereas  $\text{N}_{\text{anti}}$  form of HBO, HBT, HPyBO, and HPyBT compounds, respectively represented by  $\text{OC}''$ ,  $\text{SC}''$ ,  $\text{ON}''$ , and  $\text{SN}''$  have maximum chemical hardness values. The relative reactivities of isomeric molecules of the compounds HBI, HBO, HBT, HPyBI, HPyBO, and HPyBT are in orders:  $\text{NC}' > \text{NC}$ ,  $\text{OC}' > \text{OC} > \text{OC}'' \approx \text{OC}'''$ ,  $\text{SC}' > \text{SC} > \text{SC}'' > \text{SC}'''$ ,  $\text{NN}' > \text{NN}$ ,  $\text{ON}' > \text{ON}''' > \text{ON} > \text{ON}''$ , and  $\text{SN}' > \text{SN} > \text{SN}''' > \text{SN}''$ , respectively.

**Table 3** The  $E_{\text{LUMO}}$  and  $E_{\text{HOMO}}$  energies and frontier molecular orbital energy gap,  $\Delta E_{\text{HOMO-LUMO}}$  of all isomers of HBX and HPyBX series computed at the B3LYP/6-311++G(d,p) level of theory

Compounds/ Isomers	$E_{\text{LUMO}}^a$	$E_{\text{HOMO}}^a$	$\Delta E_{\text{HOMO-LUMO}}^a$	$\eta^{a,b}$	$\mu^{a,c}$	$\chi^{a,d}$
<b>HBI</b>						
NC	-1.69	-6.04	4.35	2.18	-3.86	3.86
NC'	-1.82	-5.36	3.54	1.77	-3.59	3.59
TS_NC_NC'	-1.80	-5.47	3.67	1.84	-3.63	3.63
<b>HBO</b>						
OC	-1.91	-6.26	4.35	2.18	-4.08	4.08
OC'	-2.07	-5.61	3.54	1.77	-3.84	3.84
OC''	-1.91	-6.29	4.38	2.19	-4.10	4.10
OC'''	-1.74	-6.12	4.38	2.19	-3.93	3.93
TS_OC_OC'	-2.18	-5.82	3.65	1.82	-4.00	4.00
TS_OC_OC''	-1.39	-6.61	5.23	2.61	-4.00	4.00
TS_OC''_OC'''	-1.74	-6.37	4.63	2.31	-4.06	4.06
<b>HBT</b>						
SC	-2.07	-6.21	4.14	2.07	-4.14	4.14
SC'	-2.23	-5.58	3.35	1.67	-3.91	3.91
SC''	-1.91	-6.40	4.49	2.25	-4.15	4.15
SC'''	-1.80	-6.15	4.35	2.18	-3.97	3.97
TS_SC_SC'	-2.15	-5.85	3.70	1.85	-4.00	4.00
TS_SC_SC''	-1.58	-6.53	4.95	2.48	-4.06	4.06
TS_SC''_SC'''	-1.91	-6.34	4.44	2.22	-4.12	4.12
<b>HPyBI</b>						
NN	-1.93	-6.18	4.25	2.12	-4.06	4.06
NN'	-2.01	-5.55	3.54	1.77	-3.78	3.78
TS_NN_NN'	-1.99	-5.77	3.78	1.89	-3.88	3.88
<b>HPyBO</b>						
ON	-2.15	-6.45	4.30	2.15	-4.30	4.30
ON'	-2.31	-5.88	3.57	1.78	-4.10	4.10
ON''	-2.12	-6.45	4.33	2.16	-4.29	4.29
ON'''	-2.01	-6.26	4.25	2.12	-4.14	4.14
TS_ON_ON'	-2.29	-5.96	3.67	1.84	-4.12	4.12
TS_ON_ON''	-1.52	-6.91	5.39	2.69	-4.22	4.22
TS_ON''_ON'''	-1.88	-6.53	4.65	2.33	-4.20	4.20
<b>HPyBT</b>						
SN	-2.23	-6.40	4.16	2.08	-4.31	4.31
SN'	-2.42	-5.80	3.37	1.69	-4.11	4.11
SN''	-1.99	-6.64	4.65	2.33	-4.31	4.31
SN'''	-2.04	-6.26	4.22	2.11	-4.15	4.15
TS_SN_SN'	-2.40	-5.91	3.51	1.76	-4.15	4.15
TS_SN_SN''	-1.71	-6.83	5.12	2.56	-4.27	4.27
TS_SN''_SN'''	-2.10	-6.48	4.38	2.19	-4.29	4.29

<sup>a</sup> In eV

<sup>b</sup> Chemical hardness,  $\eta = (\Delta E_{\text{HOMO-LUMO}})/2$

<sup>c</sup> Electronic chemical potential,  $\mu = (E_{\text{HOMO}} + E_{\text{LUMO}})/2$

<sup>d</sup> The Mulliken electronegativity,  $\chi = -(E_{\text{HOMO}} + E_{\text{LUMO}})/2$

## Conclusions

The B3LYP/6-311++G(d,p) optimized structures of all isomers of HBI, HBO, HBT, HPyBI, HPyBO, and HPyBT compounds were obtained. Four isomers for each compound of HBO, HBT, HPyBO, HPyBT and three transition states of their transformations, two isomers for each compound of HBI, HPyBI and one transition state were found. The relative stabilities of isomers of the HBO, HBT, HPyBO, HPyBT, HPyBI, and HBI are in orders:  $OC > OC'' > OC''' > OC'$ ,  $SC > SC''' > SC'' > SC'$ ,  $ON > ON'' > ON' > ON'''$ ,  $SN > SN' > SN'' > SN'''$ ,  $NN > NN'$ , and  $NC > NC'$ , respectively. Energy gaps for all isomers, derived from their frontier orbital energies were obtained. Energetics, thermodynamic properties, rate constants, and equilibrium constants of all related transformations were determined at 298 K.

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